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# 3 A 7-20-01 Miller Miller

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: John CANNING et al

TITLE: TUNING OF OPTICAL DEVICES

COMPLETION OF PCT/AU99/00998 filed 12 November 1999

The Commissioner for Patents (DO/EO/US) Box PCT

Washington, D.C. 20231

## PRELIMINARY AMENDMENT

Dear Sir:

Please amend the application being filed herewith under 35 USC 371.

## IN THE CLAIMS:

Please cancel all claims from the PCT application as filed as well as claims 1-11 from the claims filed in response to the Written Opinion on December 6, 2000 and substitute new claims 12-21 as attached to the substitute specification.

## REMARKS

The aforesaid claims are based on the claims as filed in response to the Written Opinion in the PCT international application, with amendments to place the same in better condition for examination under U.S. rules of practice.

Examination of this U.S. application is requested to be based on the substitute specification enclosed herewith, which is based on the following materials:

- Pages 1, 1a, 2, 3 and 4 as amended in response to the Written Opinion
- · Pages 5-6 of the amended claims as referenced above
- Page 7 of the abstract as filed in the PCT international application
- 2 sheets of drawings as filed in the PCT international application

May 9, 2001

Attorney for Applicant

Respectfully submitted,

Richard J. Streit, Reg. 25765

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